

FORM PTO 1390
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2004_1553ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.
(if known, see 37 CFR 1.5)
NEWInternational Application No.
PCT/JP03/03884International Filing Date
March 27, 2003Priority Date Claimed
March 29, 2002**Title of Invention**

METHOD FOR PRODUCING SINGLE-WALLED CARBON NANOTUBES

Applicant(s) For DO/EO/US

Sumio IJIMA, Masako YUDASAKA, and Hiroo HONGO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT G**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

ATTACHMENT H

13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information:
 C. Letter Re: Correction of Inventor's Name **ATTACHMENT I**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) NEW		INTERNATIONAL APPLICATION NO. PCT/JP03/03884		ATTORNEY'S DOCKET NO. 2004_1553A	
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15. <input checked="" type="checkbox"/> The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00 International Search Report has been prepared by the EPO or JPO \$ 950.00 International preliminary examination fee not paid to USPTO but international search paid to USPTO \$ 790.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	-20 =		X \$18.00	\$			
Independent Claims	- 3 =		X \$88.00	\$			
Multiple dependent claim(s) (if applicable)			+ \$300.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$0			
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$			
SUBTOTAL =				\$0			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$130.00			
TOTAL NATIONAL FEE =				\$130.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+ \$40.00			
TOTAL FEES ENCLOSED =				\$170.00			
12/14/2004 SNAJARRO 00000066 10509575 01 FC:1617 130.00 OP				Amount to be refunded \$ Amount to be charged \$			

a. ☒ A check in the amount of \$170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 23-0975.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
 must be filed and granted to restore the application to pending status.**

19. CORRESPONDENCE ADDRESS <div style="text-align: center;"> CUSTOMER NO. 000513 </div>	By: <u>Matthew M. Jacob</u> Matthew M. Jacob Registration No. 25,154 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250 December 8, 2004
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THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEES FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975

[CHECK NO. 105623]
 [2004_1553A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Sumio IJIMA et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2004_1553A

Filed September 29, 2004

METHOD FOR PRODUCING SINGLE-
WALLED CARBON NANOTUBES
[Corresponding to PCT/JP03/03884
Filed March 27, 2003]

LETTER RE: CORRECTION OF INVENTOR'S NAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Attention is directed to the fact that third named inventor has been changed from "Hiroo HONGO" to -Hiroo HONGO- in the Declaration and Assignment.

The different spellings, when pronounced in English, sound essentially the same, however, the corrected name results from a more accurate translation.

Respectfully submitted,

Sumio IJIMA et al.

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FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

By 
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December 8, 2004

ATTACHMENT I